STATE OF CALIFORNIA - THE RESOURCES AGENCY DEPARTMENT OF FISH AND GAME OFFICE OF SPILL PREVENTION AND RESPONSE

## APPLICATION FOR CERTIFICATE OF FINANCIAL RESPONSIBILITY FOR MARINE FACILITIES

(\*\*\*WARNING: For security purposes all ZIP files transmitted to DFG/OSPR via e-mail will be returned undeliverable\*\*\*)

(NOTE: A Contingency Plan is also required, pursuant to Government Code Section 8670.29)

(Please type or print clearly in English when completing this application). Refer to the instructions on Pages 8 and 9 before completing this form.					
	SECTION A	A. GENERAL INFORMATION			
1.	Legal name of applicant.				
2.	Mailing address of applicant.				
	E-mail address:				
3.	Address of principal place of busi	ness of applicant if different from 2 abo	ve.		
4.	Trade name (if any), dba, or othe	r name generally known to the public.			
5.	Name, address, title, and telephorinancial contact person.	one number, facsimile number, and e-m	nail address of		
	Name:				
	Title:				
	Telephone number:				
	Facsimile number:				
	E-mail address:				

(General Information - Continued)

6.	If applicant is a subsidiary or is not wholly owned, provide the following information				g information:	
	a.	Name and a	ddress of parent	corporation/ov	vning entities.	
	b.	Date and sta	te of incorporation	on of parent co	rporation/owning e	ntities.
		Date			State	
7.	Name and address of person to whom the certificate(s) should be sent, including telephone number, facsimile number, and e-mail address. (No P.O. Boxes).					
	Name	:				
	Addre	ess:				
	Telep	hone Number	:			
	Facsir	mile Number:				
	E-mai	l Address:				
	SE	ECTION B.	GENERAL DE	SCRIPTION O	F THE FACILITY O	OR FACILITIES
1.	Facilit	y, or other typ	`	application app	ne, Platform, Small lies to more than o	
2.	Name	and location	of facility or facil	ities to which th	ne application appli	es.
3.	Name	and address	of lessor if the fa	acility is leased	or located on lease	ed land.

## SECTION C. SPECIFIC DESCRIPTION OF FACILITY OR FACILITIES

1.	. MARINE TERMINAL(S)			
	a.	For each terminal, fill out the pipeline information in 2. below, and provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.02(d)(1)-(2)):		
		Reasonable Worst Case Spill volume: (barrels)		
2.	<b>PIPELINE(S)</b> (To be completed by pipeline owners or operators as well as owners or operators of offshore platforms and marine terminals.)			
	a.	Pipeline Number or Designation		
	b.	For each pipeline, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.02(d)(1)-(2)):		
		Reasonable Worst Case Spill volume: (barrels)		

3.	<b>SMALL MARINE FUELING FACILITY(S)</b> (For mobile transfer units, use the "Application for Certificate of Financial Responsibility for Mobile Transfer Units", FG OSPR 1946 (2/08)). For each small marine fueling facility indicate:				
	a.	Type of vessels served (e.g., recreational/commercial/combination).			
	b.	During the past twelve-month period, approximately what percent of the craft serviced by this facility were greater than 20 meters in length?			
		%			
	C.	Types of fuel or oil products handled.			
	d.	Annual throughput volume of over-the-water transfers (in gallons).			
	e.	Indicate the capacity in each storage tank at the facility:			
		Capacity (gallons)			
		·			
	f.	For each small marine fueling facility, provide the following information that is reflected in the contingency plan for the facility (Reference Title 14, California Code of Regulations, Section 817.03(d)(1)-(2)):			
		Reasonable Worst Case Spill volume: (barrels)			

4.	OFFSHORE FACILITY (If the offshore facility is located in California marine water complete this Section and Section C (2) of this application. If the offshore facility Federal waters complete Section C (2)).		
	a.	For each offshore facility indicate type of facility (e.g., production platform, drilling unit, satellite well, production pier, artificial island, etc.):	
	b.	Is the owner or operator of the facility currently authorized to engage in drilling operations under a permit issued by the California State Lands Commission or Division of Oil and Gas and Geothermal?	
		Yes No	
		If yes, provide the following:	
		Issue Date Expiration Date	
	C.	If a production facility, provide maximum daily production rate, in barrels, of gross production and net oil.	
		Gross production Net Oil	
	d.	Rate of transfer, in barrels per hour, into pipeline(s) connected to facilities located on-shore.	
		Gross production Net Oil	
	e.	Emergency reaction time (in minutes):	
		Amount of time required discovering a release of oil and complete emergency shut-off of operations to stop discharge of oil into marine waters. (Indicate both automatic and manual emergency shut-down times.)	
		Discovery time:	
		Automatic shut-off time: Manual shut-off time:	
	f.	Type(s) and quantity of oil and petroleum products stored on the facility:	
		<u>Type</u> <u>Quantity</u>	
		<del></del>	
		<del></del>	
		<del></del>	

	g.	Enter	the number of oil wells as follows:	
		Total number of oil wells		
		Numb	er of free-flowing oil wells	
		Total	number of oil wells currently in production	
	h.	contin	ach offshore facility, provide the following inform gency plan for the facility (Reference Title 14, C ations, Section 817.02(d) (1)-(3):	
		Reaso	onable Worst Case Spill volume:	_ (barrels)
5. <b>OTHER TYPE OF MARINE FACILITY</b> (This section should be used to descrifacilities such as production facilities, dehydration facilities, and other types of located on or in California marine waters or which are located where a release could impact marine waters.)			and other types of facilities	
	a.	Туре	of facility:	
	b.	If a pr	oduction facility, provide the following information	n:
		(1)	Daily Production (barrels)	
			Gross production:	
			Net Oil:	
		(2)	Number of wells producing oil:	
		(3)	Number of free flowing oil wells:	
	C.	For all types of facilities reported in this section provide separate docume describing the facility. These documents should include all relevant information which could affect reasonable worst case spill volume. This information include transfer rates, line fill capacities, discover times, automatic and number shut-down times, storage tank capacities and type of secondary contains Also, provide a diagram of the facility showing location of flow lines, gath lines, storage tanks, and any other physical characteristics of the facility.		de all relevant information  a. This information should  bes, automatic and manual  secondary containment.  n of flow lines, gathering
	d.	contin	ach facility, provide the following information that gency plan for the facility (Reference Title 14, Cations, Section 817.02(d)(1)-(3)).	
		Reaso	onable Worst Case Spill volume:	_ (barrels)

(Offshore Facility - Continued)

	<b>SECTION D.</b> DECLARATION TO BE COMPLETED BY ALL APPLICANTS			
this applexamine statemer Furthern responsitional	ication on behalf of ed this application, in this, and to the best more, it is agreed the fible party in the event, principal of the ap	I agent or an official of the the applicant. I DECLARE neluding any accompanying of my knowledge and belicat the applicant named in Sent of an oil spill. I execute	am the applicant, or I am a principal of applicant, and have the authority to sign is under penalty of perjury that I have g documents, schedules, and/or of, it is true, correct, and complete. Section A of this application is the this application in my capacity as cant or as the authorized agent as I below.	
_	Date		Signature	
			Title or Official Capacity	
* Note: If the Declaration is signed by an authorized agent of the applicant, the applicant or a principal of the applicant must sign the following Section E. Delegation of Authority:				
	SECTION E	. DELEGATION OF AU	THORITY BY THE APPLICANT	
	(Must be completed by the applicant or principal of the applicant if the above declaration has been executed by an agent acting on behalf of the applicant)			
l,			(name of the applicant) hereby	
a a	declare that (name of authorized agent whose signature appears in Section D) is authorized to submit an application for a California Certificate of Financial Responsibility on the behalf of applicant.			
_	Date		Signature	
			Title or Official Capacity	

## **Instructions**

- 1. **Owner or operator means**, in the case of a marine facility, any person who owns, has ownership interest in, or operates the marine facility.
- 2. Submit completed application by selecting one of the following methods:

U.S. Mail or Courier Service:
Department of Fish and Game
Office of Spill Prevention
and Response (OSPR)
1700 K Street - Suite 250
Sacramento, CA 95811

Fax: (916) 323-4727

E-mail:

KHANF@OSPR.DFG.CA.GOV

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via e-mail will be returned undeliverable\*\*\*

- 3. Application Process: Applications will be reviewed within 21 calendar days of receipt provided that adequate information was furnished in the application and acceptable evidence of financial responsibility has been received.
- 4. Specific instructions relating to the application process.

Section A - General Information: must be completed by all applicants.

Section B -Description of the Facility: must be completed by all applicants. (If application applies to more than one facility, for each facility list the specific information required in Section C on separate sheets.)

Section C -Specific Description of the Facility or Facilities:

- 1. <u>Marine Terminal</u>: Must be completed by the owner or operator of those marine terminal(s) for which the applicant intends to obtain certificate(s) of financial responsibility. A marine terminal includes the pipeline(s) located in marine waters. The pipeline(s) are not considered a separate facility where the applicant is the owner or operator of both the terminal and the pipeline(s).
- Pipeline: Must be completed by the owner or operator of those pipeline(s) and offshore platforms for which the applicant intends to obtain certificate(s) of financial responsibility. For the purpose of this application, the pipeline(s) that is (are) used to transfer oil from an offshore platform(s) located outside California marine waters to an on-shore facility(s) is (are) considered to be a separate facility(s).

- 3. Small Marine Fueling Facility: Must be completed by the owner or operator of the small marine fueling facility(s) unless the facility is a "small craft refueling dock". Certificates of Financial Responsibility are not required for "small craft refueling docks". However, each "small craft refueling dock" must be registered with the Department of Fish and Game's Office of Spill Prevention and Response. A "small craft refueling dock" is a waterside operation that dispenses non-persistent products (e.g., gasoline and diesel fuel) in bulk and/or small amounts of persistent lubrication oil in containers, primarily to small craft and does not have storage capacity exceeding 20,000 gallons in any single storage tank or tank compartment, and has a total useable tank storage capacity not exceeding 75,000 gallons. For these purposes, "small craft" is defined as a waterborne craft, other than a tanker or barge, which is less than 20 meters in length.
- 4. Offshore Facilities: Must be completed by the owner or operator of those offshore platform(s), production piers, artificial island, or any other type of offshore facility located in California marine waters for which the applicant intends to obtain certificate(s) of financial responsibility.
- 5. Other types of facilities: Must be completed by owners or operators of other types of facilities that are located on or in California marine waters or are located where a release of oil could impact marine waters.
- Section D, Declaration, must be completed by all applicants.
- Section E, Delegation of Authority, must be signed by the applicant or a principal of the applicant whenever an application is signed in Section D by an agent of the applicant.

## 1. Miscellaneous instructions:

- a. If a question does not apply, answer "not applicable."
- b. Applications which are incomplete will not be processed until receipt of additional information needed to complete processing.
- c. If additional space is required, supplemental sheets may be attached.

Please contact the Financial Responsibility Unit at (916) 324-3413 f you have any questions.